

Audit Plan 2019/20				
Audit Area	Service Area	Days	Priority	BTC
General ledger, assets & capital accounting - fixed asset module late May/early June	Finance & Human Resources	20	ALL	A,B,D
P2P (creditor payments)	Finance & Human Resources	15	ALL	A,B,D
Cash collection	Finance & Human Resources	12	ALL	A,B,D
Payroll/HR	Finance & Human Resources	30	ALL	A,B,D
Sales Ledger	Finance & Human Resources	15	ALL	A,B,D
Liquid Logic Finance Module (Controc)	Finance & Human Resources	45	1,2,4,6	A,B,D
New print & postage contract	Finance & Human Resources	7	2	A,B,D
Local transport grant	Finance & Human Resources	3	5 & 6	A,B,D
Transition - leaving care	Children's Safeguarding and Family Support	12	1,3,4	A,B,D
CIC Health Assessments	Children's Safeguarding and Family Support	12	1,3,4,6	A,B,D
Special Guardian ship	Children's Safeguarding and Family Support	12	1,3,4	A,B,D
S17 petty cash	Children's Safeguarding and Family Support	7	1,3,4	A,B,D
Direct Payments	Children's Safeguarding and Family Support	10	1,3,4,6	A,B,D
Setting up home grant	Children's Safeguarding and Family Support	12	1,3,4,7	A,B,D
Safeguarding & The Care Act	Adult Social Care	20	3,4,6	A,B,D
Deputyship	Adult Social Care	12	3,4,6	A,B,D
Quality assurance framework	Adult Social Care	10	3,4,6	A,B,D
Direct payments	Adult Social Care	10	3,4,6	A,B,D
Ensure legal Implementation of the Charging Policy	Adult Social Care	7	3,4,6	A,B,D
POhWER Direct payment support service agreement	Adult Social Care	12	3,4,6	A,B,D
Brokerage - provider portal	Governance Procurement & Commissioning	15	2,4,6	A,B,D
Money Laundering	Governance Procurement & Commissioning	10	2	A,B,D
Contract Audits	Governance Procurement & Commissioning	12	2	A,B,D
Single status	Governance Procurement & Commissioning	5	2	A,B,D
Shared lives	Health & Well-Being	15	2,3,4,6	A,B,D
Staff retention	Health & Well-Being	12	2	A,B,D
The Place	Health & Well-Being	15	2,4,6	A,B,D
Quality Standards libraries	Health & Well-Being	8	2,3,4,6	A,B,D
SLA libraires	Health & Well-Being	5	2	A,B,D
ESF Skills funding agreement	Business, Development & Employment	10	1,2,3,4	A,B,D
Life ready work ready	Business, Development & Employment	10	1,2,3,4	A,B,D
APT planning	Business, Development & Employment	15	5& 7	A,B,D
Reduction of Youth Unemployment	Business, Development & Employment	15	1,2,3,4,6	all
Housing Benefits & Local Council Tax Support	Customer & Neighbourhood Services	15	4,6,7	ALL
Bus subsidy grant	Customer & Neighbourhood Services	3	5, 7	A, B, D
Corporate Complaints	Customer & Neighbourhood Services	15	2	A,B,D
Fleet services	Customer & Neighbourhood Services	15	1,4,6	A,B,D
Syrian refugee programme	Customer & Neighbourhood Services	3	1,3,4,6	A,B,D
Direct Payments	Customer & Neighbourhood Services	10	3,4,6	A,B,D
Licensing fees for houses of multiple occupation	Customer & Neighbourhood Services	10	1,4,5,6,7	A,B,D
Dog warden contract	Customer & Neighbourhood Services	8	2,5,7	A,B,D
Schools (17 schools)	Education & Corporate Parenting	104	1,3,4	B,D
SEND	Education & Corporate Parenting (look at EHCP Pla	12	1,3,5	A,B,D
CPD Trading service	Education & Corporate Parenting	15	1,3,5	ALL
Sponsorship of council assets	Commercial Services	7	2	ALL
Leisure Centres	Commercial Services	27	4, 6	ALL

